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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION OF:

Naoki SUGIYAMA, et al.

SERVEL N

: 10/588,656

GAU:

FILED:

August 7, 2006

**EXAMINER:** 

FOR:

PHASE DIFFERENCE FILM, POLARIZING PLATE, AND LIQUID CRYSTAL DISPLAY ELEMENT USING

THEM

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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LIST OF REFERENCES CITED BY APPLICANT				Naoki SUGIYAMA, et al.						
			FILING DATE	GROUP						
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	AP	2002 355936	12/10/2002	JP (equivalent of US 6 916 540)					NO	
··	AQ	11 293116	10/26/1999	JP (with English abstract)					NO	
	AR	1 132626	05/25/1989	JP (equivalent of US 5 164 469 and US 471)	5 053				NO	
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)										
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U.S. T. Application Serial No: 10/588,656
Filed: August 7, 2006

Naoki SUGIYAMA, et al. Docket No. 294366 US

# STATEMENT OF RELEVANCY

- 1) References have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AO-AQ are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References AR-AS are additional prior art known to Applicant. A copy of these references is being submitted herewith.